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April 10, 2003

FILE:

4100.002000

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

April 10, 2003

Date

Shelley P.M. Fussey

Assistant Commissioner for Patents Washington, DC 20231

RE:

U.S. Application Serial No. 09/442,542; "Sustained DNA Delivery from Structural Matrices"; Shea, Bonadio, Mooney and Peters; (Client Reference: UM 1522P1)

Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, PTO-Form 1449 and copy of reference A35.

No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from Williams. Morgan & Amerson, P.C. Deposit Account No. 50-0786/4100.002000.

WILLIAMS, MORGAN & AMERSON, P.C.

Assistant Commissioner for Patents April 10, 2003 Page 2

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

23720

PATENT TRADEMARK OFFICE

Respectfully submitted,

Shelley P.M. Fussey Reg. No. 39,458

Encls.



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April 10, 2003

Date

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shea, Bonadio, Peters and Mooney

Serial No.: 09/442,542

Filed: November 18, 1999

For: SUSTAINED DNA DELIVERY FROM

STRUCTURAL MATRICES

Group Art Unit: 1636

Examiner: Kaushal, S.

Atty. Dkt. No.: 4100.002000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on attached Form PTO-1449 be considered by the Examiner and made of record in the present case. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

First and second non-final Official Actions on the merits have been received in the

present application. However, this Supplemental Information Disclosure Statement is being filed

prior to the mailing of a final Official Action, notice of allowance or an Action that closes

prosecution, and is timely filed in accordance with 37 C.F.R. § 1.97(c) without a fee in light of

the following information.

In accordance with 37 C.F.R. § 1.97(e)(2), it is hereby certified that the document listed

in the accompanying Form PTO-1449 was not cited in a communication from a foreign patent

office in a counterpart foreign application. This patent issued on April 01, 2003, and could not

have been submitted prior to that date. As the application from which the patent issued has been

disclosed to the Office, the patent is believed to be cumulative over the co-pending application of

record.

No fees should be due in connection with the filing of this Supplemental Information

Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed

necessary for any reason relating to these materials, the Examiner should contact the undersigned

representative to discuss deduction from Williams, Morgan & Amerson, P.C., Deposit Account

No. 50-0786/4100.002000.

23720

PATENT TRADEMARK OFFICE

Respectfully submitted,

360

Shelley P.M. Fussey Reg. No. 39,458 Agent for Applicants

WILLIAMS, MORGAN & AMERSON, P.C. 10333 Richmond, Suite 1100 Houston, Texas, 77042 (713) 934-4079

Date: April 10, 2003

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2003 Form F	Form PTO-1449 (modified) st of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT				Atty. Docket No. 4100.002000		Serial No. 09/442,542		
EN.C.					Applicants Lonnie D. Shea, Jeffery Bonadio, David J. Mooney and Martin C. Peters				
(Use several sheets if necessary)				Filing Date: November 18, 1999			Group: 1633		
U.		t Documents Page 1	Foreign	Patent Documents See Page			Other Art See Page		
			U.S. Pate	ent Docum	ents		···.	<u> </u>	
Exam. Init.	Ref. Des.	Document Number	Date	Name		Class	Sub Class	Filing Date of App.	
	A35	6,541,022	April 01, 2003	Murphy et al.		424	422		
			Foreign Pa	atent Docu	ments		<u> </u>	<u></u>	
xam. Init.	Ref. Des.	Documer Number		Country	Class			ranslation Yes/No	
	Other	Art (Inclu	ding Author	, Title, Date	e Pertir	nent P	ages,	Etc.)	
Exam. Init.	Ref. Des.	Citation							

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT